

Docket No.: PET-00101  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Paul A. Gompers *et al.*

Application No.: 09/990,893

Confirmation No.: 3419

Filed: November 14, 2001

Art Unit: 3693

For: PRIVATE EQUITY INVESTMENTS

Examiner: K. S. Apple

**AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In response to the Office Action mailed on July 16, 2007 in connection with the above-identified patent application, Applicants respectfully submit this Response and Amendment. A petition for a three month extension of time and the required fee are filed herewith. The Commissioner is hereby authorized to credit any overpayment or charge any deficiencies to Deposit Account Number **06-1448, Reference PET-00101**.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 5 of this paper.